

Rev. 10/01

Form CT-8453

2001

INCOME TAX DECLARATION FOR ELECTRONIC FILING BY INDIVIDUALS

Do not complete Form CT-8453 if you have elected to use the federal Self-Select PIN program for e-file.

For the taxable year beginning January 1, 2001, and ending December 31, 2001

Taxpayer Information Use the DRS label Otherwise, please print or type.	L A B E L H E R E	Your First Name and Middle Initial	Last Name	Your Social Security Number
		If a JOINT Return, Spouse's First Name and Middle Initial	Last Name	Your Spouse's Social Security Number
		Home Address	Number and Street	FOR DEPARTMENT USE ONLY - - 20
		City, Town or Post Office	State	ZIP Code

PART I TAX RETURN INFORMATION (Whole Dollars Only)

1. Federal Adjusted Gross Income (from Form CT-1040, Line 1, or Form CT-1040EZ, Line 1)	1.	
2. Connecticut tax (from Form CT-1040, Line 16, or Form CT-1040EZ, Line 8)	2.	
3. Connecticut tax withheld (from Form CT-1040, Line 17, or Form CT-1040EZ, Line 9)	3.	
4. Refund amount (from Form CT-1040, Line 24, or Form CT-1040EZ, Line 16)	4.	
5. Amount you owe (from Form CT-1040, Line 29, or Form CT-1040EZ, Line 17)	5.	

PART II DIRECT DEPOSIT OF REFUND or DIRECT PAYMENT FOR THE AMOUNT YOU OWE (Optional - See Instructions)

6. Routing transit number (RTN)		The first two numbers of the RTN must be 01 through 12 or 21 through 32.
7. Depositor account number (DAN)		
8. Type of depositor account:	<input type="checkbox"/> Savings <input type="checkbox"/> Checking	9. Request payment date (Direct Payment only)

PART III DECLARATION OF TAXPAYER (Sign only after Part I is completed.)

10. ☐ I consent to my refund being directly deposited as designated in Part II, and declare that the information shown on Lines 6 through 8 is correct. If I have filed a joint return, this is an irrevocable appointment of my spouse as an agent to receive the refund.
- ☐ I do not want direct deposit of my refund or direct payment of my balance due.
- ☐ I authorize the DRS and its designated Financial Agent to initiate an electronic funds withdrawal (direct payment) entry to the financial institution account indicated in the tax preparation software for payment of my state taxes owed on this return.

I declare under penalty of law that the information I have provided to my Electronic Return Originator (ERO) and the amounts shown in Part I above agree with the amounts shown on the corresponding lines of my 2001 Connecticut income tax return. To the best of my knowledge and belief, it is true, complete, and correct. I consent to my return, this declaration, and accompanying schedules and statements being sent to the Connecticut Department of Revenue Services (DRS) by my ERO. I also consent to DRS disclosing to my ERO and/or transmitter the reason(s) for any delay in processing my return or refund. I understand that the penalty for willfully delivering a false return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.

Please
Sign Here

Your Signature

Date

Spouse's Signature (if joint return)

Date

PART IV DECLARATION AND SIGNATURE OF ELECTRONIC RETURN ORIGINATOR (ERO) AND PAID PREPARER

I declare under penalty of law that I have examined this return (including any accompanying schedules and statements) and, to the best of my knowledge and belief, it is true, complete, and correct. I understand that the penalty for willfully delivering a false return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both. The declaration of a paid preparer other than the taxpayer is based on all information of which the preparer has any knowledge. I have provided the taxpayer with a copy of all forms and information to be filed with DRS and have followed all other requirements described in **Informational Publication 2001(22), Connecticut Federal/State Electronic Filing Handbook 2001**.

ERO MUST RETAIN THIS DOCUMENT WITH ATTACHMENTS FOR THREE YEARS.

For ERO Use Only	ERO's Signature	Date	Check if: <input type="checkbox"/> Paid Preparer <input type="checkbox"/> Self-Employed	ERO's SSN or PTIN
	(Print) Firm's Name (or your name if self-employed)			FEIN
	Firm's Address			ZIP Code
For Paid Preparer Use Only	I declare under penalty of law that I have examined this return (including any accompanying schedules and statements) and, to the best of my knowledge and belief, it is true, complete, and correct. I understand that the penalty for willfully delivering a false return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both. The declaration of a paid preparer other than the taxpayer is based on all information of which the preparer has any knowledge.			
	Paid Preparer's Signature			Date
	(Print) Firm's Name (or your name if self-employed)			FEIN
	Firm's Address			ZIP Code

* DO NOT MAIL. Retain the completed Form CT-8453 and all attachments with your ERO records for 3 years. Furnish it only upon request by the Connecticut Department of Revenue Services.

Instructions for Form CT-8453

PURPOSE OF FORM

Use **Form CT-8453** to:

- Verify the information on an electronically transmitted 2001 **Form CT-1040** or **Form CT-1040EZ**;
- Accompany any required paper schedules or statements;
- Authorize the Electronic Return Originator (ERO) to transmit returns through a third-party transmitter; and
- Provide the taxpayer's consent to the direct deposit of any overpayment.

Do not complete **Form CT-8453** if you have elected to use the federal **Self-Select PIN** program for *e-file*. If the IRS accepts the **Self-Select PIN** as your electronic signature, DRS will automatically accept it for the Connecticut income tax return. All **Form CT-8453** attachments are still required to be retained.

LINE INSTRUCTIONS

Declaration Control Number (DCN): The DCN is a 14-digit number assigned by the ERO to each taxpayer's return. The ERO should enter the same DCN on both the federal Form 8453 and the Connecticut **Form CT-8453**. The number should be entered as follows:

Example: The EFIN is 509325. The batch number is 000. The serial number is 56. The DCN should read: 00-509325-00056-2.

Name, Address, and Social Security Number: Verify that the Social Security Number (SSN) is clear and correct. If this is a joint return, be sure the names and SSNs are listed in the same order as on the electronically filed return.

IMPORTANT: The address **must** match the address shown on the electronically filed **Form CT-1040** or **Form CT-1040EZ**.

PART I - TAX RETURN INFORMATION

Enter the corresponding line item information from **Form CT-1040** or **Form CT-1040EZ** on Lines 1 to 5. Use whole dollars only in this area.

Line 5. Taxpayers who owe additional Connecticut income tax may pay the balance due either at the time of electronic filing or at a later date. Payment is due on or before April 15, 2002, to avoid penalty and interest. The payment will be considered timely if the date shown by the U.S. Postal Service cancellation mark is on or before April 15, 2002. Electronic funds withdrawn using direct payment must be made prior to transmission. Payments mailed to DRS must be accompanied by **Form CT-1040V** printed by your ERO. Taxpayers have the option to pay by credit card by calling 1-888-2PAY-TAX (888-272-9829). They will need to enter their zip code.

PART II - DIRECT DEPOSIT OF REFUND OR DIRECT PAYMENT OF BALANCE DUE

Taxpayers may elect to have their refund directly deposited or their balance due automatically withdrawn by completing Part II.

Line 6. Enter the routing transit number (RTN) of the bank or financial institution. The RTN **must** contain nine numbers. If the RTN does not begin with 01 through 12 or 21 through 32, the direct deposit request will be rejected.

Line 7. Enter the depositor account number (DAN). The DAN can contain up to 17 characters (both numbers and letters). Include hyphens but omit spaces and special characters. Enter the DAN from left to right leaving the unused boxes blank.

Line 8. Check the appropriate box for account type.

Line 9. Enter request payment date for Direct Payment only.

IMPORTANT: To be eligible for direct deposit, taxpayers must provide proof of account ownership to their ERO. Acceptable proof of

account ownership is a check, form, report, or other statement generated by the financial institution that has the taxpayer's name, RTN of the financial institution, and the taxpayer's account number preprinted on it. A deposit slip should not be used to verify the RTN and account number because it can contain internal routing numbers that are not part of the RTN.

For accounts that are payable through a financial institution other than the one at which the account is located, the taxpayer must provide a document, such as an account statement or account identification card, showing the RTN of the bank or institution where the account is located.

If there is any doubt about the correct RTN, the taxpayer should contact the financial institution and ask for the correct RTN for direct deposit (also referred to as electronic funds transfers).

Some financial institutions may not accept direct deposits into accounts that are payable through another bank or financial institution, including credit unions.

The account designated to receive the direct deposit must be in the taxpayer's name. If the filing status on the return is married filing jointly, the account can be in either or both spouses' name. If the filing status is married filing separately, the account can be in the taxpayer's name, or it can be a joint account in both spouses' name.

Caution: The account **cannot** include the name of any other person except as noted above.

Some financial institutions do not permit the deposit of a joint refund into an individual account. DRS is not responsible when a financial institution refuses a direct deposit for this reason.

PART III - DECLARATION OF TAXPAYER

Line 10. Check the appropriate box to elect method of refund.

Sign the form. An electronically transmitted income tax return will not be considered complete, nor filed, unless **Form CT-8453** is signed by the taxpayer and retained by the ERO. If a joint return, your spouse must sign also. The taxpayer's signature(s) allows DRS to disclose to the ERO and transmitter the reason(s) for any delay in processing the return or refund.

If the ERO makes changes to the electronic return after **Form CT-8453** has been signed by the taxpayer, but before it is transmitted, the ERO must have the taxpayer complete and sign a corrected **Form CT-8453** if either or both of the following applies:

- The federal Adjusted Gross Income on Line 1 differs from the amount on the electronic return by more than \$25;
- The refund on Line 4 differs from the amount on the electronic return by more than \$7.

PART IV - DECLARATION OF ELECTRONIC RETURN ORIGINATOR (ERO) AND PAID PREPARER

The ERO's signature is required by DRS.

A paid preparer must sign **Form CT-8453** in the space for **Paid Preparer's Use Only**. Only handwritten signatures are acceptable. The preparer's federal employer identification number, firm name, and firm address must also be entered in the space provided. If the paid preparer is also the ERO, do not complete the paid preparer's section. Instead check the box labeled "Paid Preparer."

Refunds. After the Department of Revenue Services has accepted the return, the refund should be issued within four days.

Automated Refund Information. Refund information is available on CONN-TAX, the DRS automated telephone system. If you have a touch-tone phone, call 860-297-5962 (anywhere) or 1-800-382-9463 (in-state) 24 hours a day and select Option 1.

IMPORTANT: Do not mail this document to the DRS. EROs are required to retain this document and all attachments for 3 years furnishing it only upon request by DRS.